

IFW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

32

Application Number

10/686,883

Filing Date

October 16, 2003

First Named Inventor

RONALD A. KATZ

Art Unit

2643

Examiner Name

S. Woo

Attorney Docket Number

6046-101C5

### ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/  
Incomplete Application



Reply to Missing Parts  
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a  
Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) 2

☐ Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board  
of Appeals and Interferences



Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify  
below):

#### Remarks

PTO Form 1449: Set I (14 pgs); Supplemental I (1 pg); Supplemental II (1 pg); Supplemental III (1 pg); Supplemental IV (1 pg); CD Information Sheet (7 pgs); (2) CDs containing prior art references; Return envelope.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

BERRY & ASSOCIATES P.C.

Signature

/Reena Kuyper/

Printed name

Reena Kuyper

Date

August 23, 2005

Reg. No.

33,830

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

*Laura Harmon*

Typed or printed name

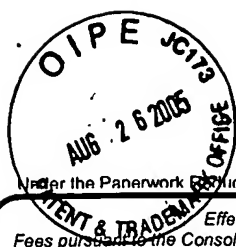
Laura Harmon

Date

August 23, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

0

**Complete if Known**

Application Number	10/686,883
Filing Date	October 16, 2003
First Named Inventor	RONALD A. KATZ
Examiner Name	S. Woo
Art Unit	2643
Attorney Docket No.	6046-101C5

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-1636 Deposit Account Name: A2D, L.P.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	_____ / 50 = _____	(round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

Fees Paid (\$)

**SUBMITTED BY**

Signature	/Reena Kuyper/	Registration No. (Attorney/Agent) 33,830	Telephone (310) 247-2860
Name (Print/Type)	Reena Kuyper		Date August 23, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 10/686,883

Filed: October 16, 2003

For: COMMERCIAL PRODUCT  
TELEPHONE ROUTING SYSTEM  
WITH MOBILE WIRELESS AND  
VIDEO VENDING CAPABILITY

) Group Art Unit: 2643  
)  
) Examiner: S. Woo  
)  
) Docket No.: 6046-101C5  
)  
) Customer No.: 35,554  
)  
)  
)  
)  
)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, with respect to the instant application, Applicant is submitting additional references of record in Applicant's other related applications as well as other references that may also be of background interest. In an information disclosure statement (IDS) submitted on October 16, 2003, Applicant submitted a set of references that were listed on Forms PTO-1449 (referred to now as Set I). Applicant has not

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this document (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

8/23/05  
Date

Laura Harmon

Application No.:  
Sup IDS dated:

10/686,883  
August 23, 2005

yet received a copy of those forms bearing the Examiner's initials to confirm that the Examiner has considered these references.

In order to ensure that those references were considered, Applicant is again submitting a copy of those Forms PTO-1449 (Set I). Applicant is also submitting the additional references listed on Forms PTO-1449 that are captioned Supplemental I, II, III, and IV, respectively. Copies of all the references listed on all the Forms PTO-1449 are provided on the enclosed compact disc (CD).

Applicant respectfully requests the Examiner to consider these references with respect to the claims pending in this application. The Examiner is requested to initial the left-most column of the enclosed Forms PTO-1449, adjacent each reference, and to return a copy for the Applicant's records. It is further requested that these references be cited on the cover of any patent issuing from this application.

The statements made here should not be construed as a representation that more material information does not exist nor an admission that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicant or Applicant's representatives that the information provided here is necessarily prior art to Applicant's invention. Applicant is merely submitting references that may be of interest to this application and reserves the right to establish patentability of the claimed inventions over the listed references should they be asserted against his claims.

This supplemental information disclosure statement (sup "IDS") is being filed in accordance with 37 CFR §§ 1.97 and 1.98. This sup IDS is timely submitted and thus no petition nor fee is required. It is submitted under 37 CFR § 1.97(b)(3), that is, before the mailing of a

Application No.:  
Sup IDS dated:

10/686,883  
August 23, 2005

first office action on the merits. If the undersigned representative of Applicant is in error in making this determination that no fee is required, then the Examiner is requested to consider this statement as a petition and is hereby authorized to charge any fee required by the filing of these papers to counsel's Deposit Account No. **50-1636** in order to ensure consideration of the references cited here.

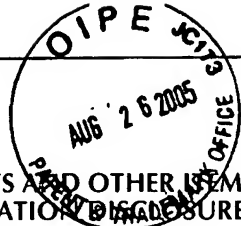
Dated: August 23, 2005

9255 Sunset Blvd., Suite 810  
Los Angeles, CA 90069  
(310) 247-2860

Respectfully submitted,

By: Reena Kuyper  
Reena Kuyper  
Registration No. 33,830

<b>FORM PTO-1449</b>  <b>SET I</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION AND DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6046-101C5	<b>SERIAL NO.</b> 10/686,883
	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> October 16, 2003	<b>GROUP:</b> 2643



U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	Re. 32,115	04/15/1986	Lockwood et al.	_____	_____	_____
	2,575,606	11/20/1951	Wales et al.	_____	_____	_____
	2,957,567	10/25/1960	Doud	_____	_____	_____
	3,246,082	04/12/1966	Levy	_____	_____	_____
	3,253,689	05/31/1966	Thompson	_____	_____	_____
	3,445,633	05/20/1969	Ratner	_____	_____	_____
	3,609,250	09/28/1971	Morris	_____	_____	_____
	3,622,995	11/23/1971	Dilks et al.	_____	_____	_____
	3,705,384	12/05/1972	Wahlberg	_____	_____	_____
	3,794,774	02/26/1974	Kemmerly et al.	_____	_____	_____
	3,881,060	04/29/1975	Connell et al.	_____	_____	_____
	3,909,553	09/30/1975	Marshall	_____	_____	_____
	4,037,250	07/19/1977	McGahan et al.	_____	_____	_____
	4,070,698	01/24/1978	Curtis et al.	_____	_____	_____
	4,090,038	05/16/1978	Biggs	_____	_____	_____
	4,137,429	01/30/1979	Stockdale	_____	_____	_____
	4,141,006	02/20/1979	Braxton	_____	_____	_____
	4,150,254	04/17/1979	Schussler et al.	_____	_____	_____
	4,173,024	10/30/1979	Miller	_____	_____	_____
	4,186,438	01/29/1980	Benson et al.	_____	_____	_____
	4,190,819	02/26/1980	Burgyan	_____	_____	_____
	4,193,114	03/11/1980	Benini	_____	_____	_____
	4,194,242	03/18/1980	Robbins	_____	_____	_____

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

<b>FORM PTO-1449</b>  <b>SET I</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6046-101C5	<b>SERIAL NO.</b> 10/686,883
	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> October 16, 2003	<b>GROUP:</b> 2643

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,247,759	01/27/1981	Yuris et al.	_____	_____	_____
	4,262,333	04/14/1981	Horigome et al.	_____	_____	_____
	4,270,042	05/26/1981	Case	_____	_____	_____
	4,289,930	09/15/1981	Connolly et al.	_____	_____	_____
	4,346,442	08/24/1982	Musmanno	_____	_____	_____
	4,359,631	11/16/1982	Lockwood et al.	_____	_____	_____
	4,360,345	11/23/1982	Hon	_____	_____	_____
	4,360,827	11/23/1982	Braun	_____	_____	_____
	4,393,277	07/12/1983	Besen et al.	_____	_____	_____
	4,412,287	10/25/1983	Braddock, III	_____	_____	_____
	4,424,572	01/03/1984	Lorig et al.	_____	_____	_____
	4,449,186	05/15/1984	Kelly et al.	_____	_____	_____
	4,450,477	05/22/1984	Lovett	_____	_____	_____
	4,451,701	05/29/1984	Bendig	_____	_____	_____
	4,493,948	01/15/1985	Sues et al.	_____	_____	_____
	4,496,943	01/29/1985	Greenblatt	_____	_____	_____
	4,528,643	07/09/1985	Freeny, Jr.	_____	_____	_____
	4,553,222	11/12/1985	Kurland et al.	_____	_____	_____
	4,559,415	12/17/1985	Bernard et al.	_____	_____	_____
	4,566,030	01/21/1986	Nickerson et al.	_____	_____	_____
	4,567,359	01/28/1986	Lockwood	_____	_____	_____
	4,577,067	03/18/1986	Levy et al.	_____	_____	_____
	4,591,906	05/27/1986	Morales-Garza et al.	_____	_____	_____

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

<b>FORM PTO-1449</b>  <b>SET I</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6046-101C5	<b>SERIAL NO.</b> 10/686,883
	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> October 16, 2003	<b>GROUP:</b> 2643

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,626,836	12/02/1986	Curtis et al.	_____	_____	_____
	4,635,251	01/06/1987	Stanley et al.	_____	_____	_____
	4,641,127	02/03/1987	Hogan et al.	_____	_____	_____
	4,652,998	03/24/1987	Koza et al.	_____	_____	_____
	4,654,482	03/31/1987	DeAngelis	_____	_____	_____
	4,656,654	04/07/1987	Dumas	_____	_____	_____
	4,674,044	06/16/1987	Kalmus et al.	_____	_____	_____
	4,694,490	09/15/1987	Harvey et al.	_____	_____	_____
	4,704,725	11/03/1987	Harvey et al.	_____	_____	_____
	4,712,191	12/08/1987	Penna	_____	_____	_____
	4,720,849	01/19/1988	Tayama	_____	_____	_____
	4,727,243	02/23/1988	Savar	_____	_____	_____
	4,734,858	03/29/1988	Schlaflly	_____	_____	_____
	4,739,478	04/19/1988	Roberts et al.	_____	_____	_____
	4,739,510	04/19/1988	Jeffers et al.	_____	_____	_____
	4,742,457	05/03/1988	Leon et al.	_____	_____	_____
	4,745,468	05/17/1988	Von Kohorn	_____	_____	_____
	4,751,640	06/14/1988	Lucas et al.	_____	_____	_____
	4,755,871	07/05/1988	Morales-Garza et al.	_____	_____	_____
	4,758,872	07/19/1988	Hada	_____	_____	_____
	4,761,684	08/02/1988	Clark et al.	_____	_____	_____
	4,763,191	08/09/1988	Gordon et al.	_____	_____	_____
	4,788,682	11/29/1988	Vij et al.	_____	_____	_____

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
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<b>FORM PTO-1449</b>  <b>SET I</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6046-101C5	<b>SERIAL NO.</b> 10/686,883
	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> October 16, 2003	<b>GROUP:</b> 2643

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		4,789,863	12/06/1988	Bush	_____	_____	_____
		4,789,928	12/06/1988	Fujisaki	_____	_____	_____
		4,791,666	12/13/1988	Cobb et al.	_____	_____	_____
		4,794,530	12/27/1988	Yukiura et al.	_____	_____	_____
		4,799,156	01/17/1989	Shavit et al.	_____	_____	_____
		4,805,134	02/14/1989	Calo et al.	_____	_____	_____
		4,807,023	02/21/1989	Bestler et al.	_____	_____	_____
		4,823,265	04/18/1989	Nelson	_____	_____	_____
		4,825,457	04/25/1989	Lebowitz	_____	_____	_____
		4,833,710	05/23/1989	Hirashima	_____	_____	_____
		4,843,377	06/27/1989	Fuller et al.	_____	_____	_____
		4,845,636	07/04/1989	Walker	_____	_____	_____
		4,845,739	07/04/1989	Katz	_____	_____	_____
		4,852,154	07/25/1989	Lewis et al,	_____	_____	_____
		4,873,662	10/10/1989	Sargent	_____	_____	_____
		4,876,597	10/24/1989	Roy et al.	_____	_____	_____
		4,876,648	10/24/1989	Lloyd	_____	_____	_____
		4,885,685	12/05/1989	Wolfberg et al.	_____	_____	_____
		4,893,248	01/09/1990	Pitts et al.	_____	_____	_____
		4,893,325	01/09/1990	Pankonen et al.	_____	_____	_____
		4,893,326	01/09/1990	Duran et al.	_____	_____	_____
		4,897,867	01/30/1990	Foster et al.	_____	_____	_____
		4,903,201	02/20/1990	Wagner	_____	_____	_____

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
<b>EXAMINER:</b> Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

<b>FORM PTO-1449</b>  <b>SET I</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6046-101C5	<b>SERIAL NO.</b> 10/686,883
	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> October 16, 2003	<b>GROUP:</b> 2643

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	4,907,160	03/06/1990	Duncan et al.	_____	_____	_____
	4,910,676	03/20/1990	Allredge	_____	_____	_____
	4,916,435	04/10/1990	Fuller	_____	_____	_____
	4,922,520	05/01/1990	Bernard et al.	_____	_____	_____
	4,926,325	05/15/1990	Benton et al.	_____	_____	_____
	4,928,177	05/22/1990	Martinez	_____	_____	_____
	4,939,773	07/03/1990	Katz	_____	_____	_____
	4,947,028	08/07/1990	Gorog	_____	_____	_____
	4,951,196	08/21/1990	Jackson	_____	_____	_____
	4,954,886	09/04/1990	Elberbaum	_____	_____	_____
	4,955,052	09/04/1990	Hussain	_____	_____	_____
	4,962,473	10/09/1990	Crain	_____	_____	_____
	4,965,825	10/23/1990	Harvey et al.	_____	_____	_____
	4,972,318	11/20/1990	Brown et al.	_____	_____	_____
	4,980,826	12/25/1990	Wagner	_____	_____	_____
	4,989,233	01/29/1991	Schakowsky et al.	_____	_____	_____
	4,992,866	02/12/1991	Morgan	_____	_____	_____
	4,992,940	02/12/1991	Dworkin	_____	_____	_____
	5,020,129	05/28/1991	Martin et al.	_____	_____	_____
	5,021,953	06/04/1991	Webber et al.	_____	_____	_____
	5,032,989	07/16/1991	Tornetta	_____	_____	_____
	5,042,062	08/20/1991	Lee et al.	_____	_____	_____
	5,053,956	10/01/1991	Donald et al.	_____	_____	_____

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
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	<b>APPLICANT:</b> RONALD A. KATZ	
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
		5,060,068	10/22/1991	Lindstrom	_____	_____
		5,061,916	10/29/1991	French et al.	_____	_____
		5,063,507	11/05/1991	Lindsey et al.	_____	_____
		5,065,393	11/12/1991	Sibbitt et al.	_____	_____
		5,072,103	12/10/1991	Nara	_____	_____
		5,077,665	12/31/1991	Silverman et al.	_____	_____
		5,077,788	12/31/1991	Cook et al.	_____	_____
		5,093,718	03/03/1992	Hoarty et al.	_____	_____
		5,101,353	03/31/1992	Lupien et al.	_____	_____
		5,109,399	04/28/1992	Thompson	_____	_____
		5,109,414	04/28/1992	Harvey et al.	_____	_____
		5,117,354	05/26/1992	Long et al.	_____	_____
		5,136,501	08/04/1992	Silverman et al.	_____	_____
		5,136,581	08/04/1992	Muehrcke	_____	_____
		5,151,782	09/29/1992	Ferraro	_____	_____
		5,164,979	11/17/1992	Choi	_____	_____
		5,164,982	11/17/1992	Davis	_____	_____
		5,168,446	12/01/1992	Wiseman	_____	_____
		5,170,427	12/08/1992	Guichard et al.	_____	_____
		5,191,410	03/02/1993	McCalley et al.	_____	_____
		5,191,613	03/02/1993	Graziano et al.	_____	_____
		5,193,056	03/09/1993	Boes	_____	_____
		5,202,759	04/13/1993	Laycock	_____	_____

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	5,204,670	04/20/1993	Stinton	_____	_____	_____
	5,206,803	04/27/1993	Vitagliano et al.	_____	_____	_____
	5,220,501	06/15/1993	Lawlor et al.	_____	_____	_____
	5,222,018	06/22/1993	Sharpe et al.	_____	_____	_____
	5,224,157	06/29/1993	Yamada et al.	_____	_____	_____
	5,229,850	07/20/1993	Toyoshima	_____	_____	_____
	5,231,571	07/27/1993	D'Agostino	_____	_____	_____
	5,233,654	08/03/1993	Harvey et al.	_____	_____	_____
	5,235,680	08/10/1993	Bijinagte	_____	_____	_____
	5,237,499	08/17/1993	Garback	_____	_____	_____
	5,237,500	08/17/1993	Perg et al.	_____	_____	_____
	5,239,462	08/24/1993	Jones et al.	_____	_____	_____
	5,241,587	08/31/1993	Horton et al.	_____	_____	_____
	5,241,671	08/31/1993	Reed et al.	_____	_____	_____
	5,262,942	11/16/1993	Earle	_____	_____	_____
	5,264,929	11/23/1993	Yamaguchi	_____	_____	_____
	5,283,731	02/01/1994	Lalonde et al.	_____	_____	_____
	5,285,383	02/08/1994	Lindsey et al.	_____	_____	_____
	5,289,275	02/22/1994	Ishii et al.	_____	_____	_____
	5,305,200	04/19/1994	Hartheimer et al.	_____	_____	_____
	5,309,355	05/03/1994	Lockwood	_____	_____	_____
	5,319,542	06/07/1994	King, Jr. et al.	_____	_____	_____
	5,323,315	06/21/1994	Highbloom	_____	_____	_____

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	5,323,445	06/21/1994	Nakatsuka	_____	_____	_____
	5,335,277	08/02/1994	Harvey et al.	_____	_____	_____
	5,347,632	09/13/1994	Filepp et al.	_____	_____	_____
	5,351,133	09/27/1994	Blonstein	_____	_____	_____
	5,351,186	09/27/1994	Bullock et al.	_____	_____	_____
	5,361,199	11/01/1994	Shoquist et al.	_____	_____	_____
	5,365,577	11/15/1994	Davis et al.	_____	_____	_____
	5,375,055	12/20/1994	Togher et al.	_____	_____	_____
	5,402,336	03/28/1995	Spiegelhoff et al.	_____	_____	_____
	5,410,343	04/25/1995	Coddington et al.	_____	_____	_____
	5,412,708	05/02/1995	Katz	_____	_____	_____
	5,426,281	06/20/1995	Abecassis	_____	_____	_____
	5,440,336	08/08/1995	Buhro et al.	_____	_____	_____
	5,440,624	08/08/1995	Schoof et al.	_____	_____	_____
	5,442,771	08/15/1995	Filepp et al.	_____	_____	_____
	5,450,123	09/12/1995	Smith	_____	_____	_____
	5,451,998	09/19/1995	Hamrick	_____	_____	_____
	5,455,903	10/03/1995	Jolissaint et al.	_____	_____	_____
	5,465,291	11/07/1995	Barrus et al.	_____	_____	_____
	5,475,585	12/12/1995	Bush	_____	_____	_____
	5,485,370	01/16/1996	Moss et al.	_____	_____	_____
	5,500,793	03/19/1996	Deming, Jr. et al.	_____	_____	_____
	5,515,424	05/07/1996	Kenney	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
		5,528,281	06/18/1996	Grady et al.	_____	_____
		5,528,513	06/18/1996	Vaitzblit et al.	_____	_____
		5,534,912	07/09/1996	Kostreski	_____	_____
		5,539,448	07/23/1996	Verhille et al.	_____	_____
		5,557,518	09/17/1996	Rosen	_____	_____
		5,592,375	01/07/1997	Salmon et al.	_____	_____
		5,602,905	02/11/1997	Mettke	_____	_____
		5,604,487	02/18/1997	Frymier	_____	_____
		5,568,181	10/22/1996	Greenwood et al.	_____	_____
		5,581,479	12/03/1996	McLaughlin et al.	_____	_____
		5,606,359	02/25/1997	Youden et al.	_____	_____
		5,606,496	02/25/1997	D'Agostino	_____	_____
		5,682,195	10/28/1997	Hendricks et al.	_____	_____
		5,689,553	11/18/1997	Ahuja et al.	_____	_____
		5,710,887	01/20/1998	Chelliah et al.	_____	_____
		5,724,646	03/03/1998	Ganek et al.	_____	_____
		5,758,328	05/26/1998	Giovannoli	_____	_____
		5,793,413	08/11/1998	Hylton et al.	_____	_____
		5,794,207	08/11/1998	Walker et al.	_____	_____
		5,815,146	09/29/1998	Youden et al.	_____	_____
		5,845,265	12/01/1998	Woolston	_____	_____
		5,870,724	02/09/1999	Lawlor et al.	_____	_____
		5,905,973	05/18/1999	Yonezawa et al.	_____	_____

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U.S. PATENT DOCUMENTS						
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	5,973,722	10/26/1999	Wakai et al.	_____	_____	_____

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
	0 188 286	07/1986	EPC	_____	_____	YES	NO
	0 010 399 A1	04/30/1980	EPC	_____	_____		
	0 029 456	02/07/1991	Japan	_____	_____		
	0 109 198	04/20/1991	Japan	_____	_____		
	Sho 49-73198	07/15/1974	Japan	_____	_____		
	Sho 50-98626	01/10/1974	Japan	_____	_____		
	Sho 50-133892	10/23/1975	Japan	_____	_____		
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	GB 2 105 075 A	03/16/1983	United Kingdom	_____	_____		
	1 437 883	06/03/1986	United Kingdom	_____	_____		
	1 504 112	03/15/1978	United Kingdom	_____	_____		
	1 504 113	03/15/1978	United Kingdom	_____	_____		

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

<b>FORM PTO-1449</b>  <b>SET I</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6046-101C5	<b>SERIAL NO.</b> 10/686,883
	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> October 16, 2003	<b>GROUP:</b> 2643

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	Schrage, Michael, "An Experiment In Economic Theory; Labs Testing Real Markets," <u>The Record</u> , Section B1, Nov. 26, 1989.
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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

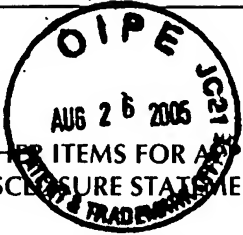
<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL I</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6046-101C5	<b>SERIAL NO.</b> 10/686,883
	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> October 16, 2003	<b>GROUP:</b> 2643

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		4799156	1/17/1989	Shavit et al.	_____	_____	_____
		4888795	12/19/1989	Ando et al.	_____	_____	_____
		5384841	1/24/1995	Adams et al.	_____	_____	_____
		5576951	11/19/1996	Lockwood	_____	_____	_____
		5583928	12/10/1996	Tester et al.	_____	_____	_____
		5684870	11/4/1997	Maloney et al.	_____	_____	_____
		5721832	2/24/1998	Westrope et al.	_____	_____	_____
		5727164	3/10/1998	Kaye et al.	_____	_____	_____
		5742931	4/21/1998	Spiegelhoff et al.	_____	_____	_____

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		

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		<b>APPLICANT:</b> RONALD A. KATZ	
		<b>FILING DATE:</b> November 16, 2003	<b>GROUP:</b> 2643

FOREIGN PATENT DOCUMENTS							
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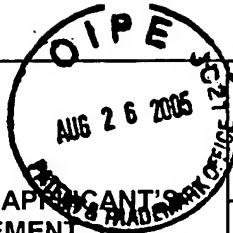
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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

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	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> November 16, 2003	<b>GROUP:</b> 2643

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	5127049	06/30/1992	Sabo	_____	_____	_____
	5329578	07/12/1994	Brennan et al.	_____	_____	_____
	5371534	12/06/1994	Dagdeviren et al.	_____	_____	_____
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	5602908	02/11/1997	Fan	_____	_____	_____
	6418211	07/09/2002	Irvin	_____	_____	_____

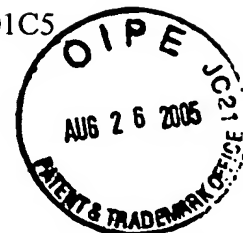
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SUPPLEMENTAL IV	APPLICANT: RONALD A. KATZ	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE: October 16, 2003	GROUP: 2643
(Use several sheets if necessary)		

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5712906	1/27/98	Grady et al.	-----	-----	-----

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 Operating system compatibility: MS-Windows  
 List of Files contained on this disc:

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<i>Name</i>	<i>File Type</i>	<i>Size</i>	<i>Date of Creation</i>
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EP00188286A2.pdf	Adobe Acrobat Document	2288 KB	10/08/2003
GB01437883A .pdf	Adobe Acrobat Document	864 KB	10/08/2003
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GB01504113A .pdf	Adobe Acrobat Document	937 KB	10/08/2003
GB02105075A .pdf	Adobe Acrobat Document	1545 KB	10/08/2003
JP 0029456.pdf	Adobe Acrobat Document	231 KB	10/09/2003
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**b. Other Documents**

<i>Name</i>	<i>File Type</i>	<i>Size</i>	<i>Date of Creation</i>
Ackerman, LF The Video Phone New Life For An Old Idea.pdf	Adobe Acrobat Document	3119 KB	10/01/2003
American, IBM, American Express To Test Automatic Ticket Vendor.pdf	Adobe Acrobat Document	159 KB	10/01/2003
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ISDN Strategies [Vol. 1].pdf	Adobe Acrobat Document	912 KB	10/01/2003
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Neville, T., et al., The Application of National ANI to Pay.pdf	Adobe Acrobat Document	461 KB	10/01/2003
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Posko, A.L., Versatile Services Streamline Global Videoconf.pdf	Adobe Acrobat Document	694 KB	10/01/2003
Prodigy Made Easy 2nd Edition [Osborne McGraw Hill].pdf	Adobe Acrobat Document	1069 KB	10/01/2003
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Shopping via a network is no longer just talk.pdf	Adobe Acrobat Document	905 KB	10/01/2003
Spiedel, Richard E., Impact of Electronic Contracting on Co.pdf	Adobe Acrobat Document	266 KB	10/01/2003
System will enable customer to establish prebilled 'credit bank'.pdf	Adobe Acrobat Document	123 KB	10/01/2003
Takei, Daisuke, Videotex Information System and Credit Syst.pdf	Adobe Acrobat Document	273 KB	10/01/2003
Teweles, Richard J., et al., The Stock Market.pdf	Adobe Acrobat Document	801 KB	10/01/2003
Web Ventures Presents BookIt.pdf	Adobe Acrobat Document	49 KB	10/01/2003
Wright, Peter, Vision by Telephone.pdf	Adobe Acrobat Document	481 KB	10/01/2003
Wurlitzer Card Control, Vending Times, Nov. 1979	Adobe Acrobat Document	126 KB	08/16/2005
Yager, T., Better Than Being There".pdf	Adobe Acrobat Document	748 KB	10/01/2003

Zilles, S.N., Catalog-Based Order Entry System.pdf	Adobe Acrobat Document	127 KB	10/01/2003
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## c. US Patent Documents

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4090038 Biggs.pdf	Adobe Acrobat Document	276 KB	09/25/2003
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4193114 Benini.pdf	Adobe Acrobat Document	287 KB	09/25/2003
4194242 Robbins.pdf	Adobe Acrobat Document	498 KB	09/25/2003
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4262333 Horigome.pdf	Adobe Acrobat Document	326 KB	09/25/2003
4270042 Case.pdf	Adobe Acrobat Document	853 KB	09/26/2003
4289930 Connolly, et al..pdf	Adobe Acrobat Document	432 KB	09/25/2003
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4591906 Morales-Garza, et al..pdf	Adobe Acrobat Document	1266 KB	09/30/2003
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4704725 Harvey, et al..pdf	Adobe Acrobat Document	1770 KB	10/06/2003
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4720849 Tayama.pdf	Adobe Acrobat Document	575 KB	09/26/2003
4727243 Savar.pdf	Adobe Acrobat Document	2095 KB	10/08/2003
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4739510 Jeffers, et al..pdf	Adobe Acrobat Document	2035 KB	09/30/2003
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4745468 Von Kohorn.pdf	Adobe Acrobat Document	2015 KB	10/01/2003
4751640 Lucas, et al..pdf	Adobe Acrobat Document	1234 KB	09/30/2003
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**Supplemental I**

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**Supplemental II**

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**Supplemental IV**

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